

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Tesar et al.
 Serial No.: 10/798,841
 Confirmation No.: 5027
 Filed: March 11, 2004
 For: LENS ASSEMBLY AND OPTICAL IMAGING SYSTEM USING
 SAME
 Examiner: A. M. Harrington
 Art Unit: 2873

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 19th day of January, 2006.


 Janine Michalski

Mail Stop 16

Director of the U.S. Patent and Trademark Office
 P.O. Box 1450
 Alexandria, VA 22313-1450

REQUEST FOR REFUND (37 C.F.R. §1.28(a))

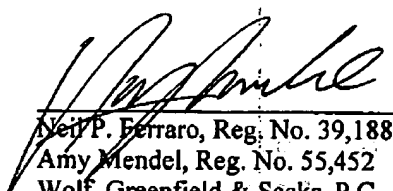
Sir:

Applicants respectfully request a refund under 37 C.F.R. § 1.28(a) for the difference between the small entity issue fee and large entity issue fee paid in the above-identified patent application. Applicants inadvertently paid the large entity issue fee of \$1,400.00 on December 8, 2005. Applicants respectfully request that the refund of \$700.00 be made to Deposit Account No. 23/2825. A copy of the Issue Fee Transmittal Letter is enclosed. In further support of this Request, Applicants herewith submit a Declaration Claiming Small Entity Status. This request for refund is made within three (3) months of the date the fee was paid.

Please charge any insufficient fees to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

By:


 Neil P. Ferraro, Reg. No. 39,188
 Amy Mendel, Reg. No. 55,452
 Wolf, Greenfield & Sacks, P.C.
 600 Atlantic Avenue
 Boston, Massachusetts 02210-2206
 Telephone: (617) 646-8000

Docket No.: T0450.70034US00
 Date: January 19, 2006
 xNDDx

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(571) 273-2885

COPY

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must

7590

10/04/2005

Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210

Express Mail No.: EV292458064US
Mailing Date: December 8 2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/798,841	03/11/2004	John Tesar	T0450.70034US00	5027

TITLE OF INVENTION: LENS ASSEMBLY AND OPTICAL IMAGING USING SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	01/04/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
HARRINGTON, ALICIA M	2873	359-756000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 _____
- 2 WOLF GREENFIELD & SACKS, P.C.
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

PINOTAGE, L.L.C.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

FAYETTEVILLE, ARKANSAS

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge, the required fee(s), or credit any overpayment, to Deposit Account Number 2372825 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Neil P. Ferraro

Date December 8, 2005

Typed or printed name

Neil P. Ferraro

Registration No. 39,188

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

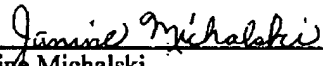
DOCKET NO.: T0450.70034US00

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Examiner: A. M. Harrington
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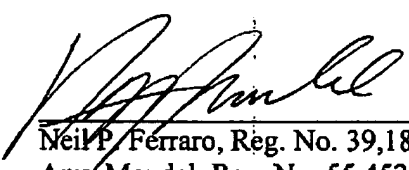
STATEMENT OF SMALL ENTITY

Applicants claim small entity status pursuant to 37 C.F.R. 1.27.

No fee is believed to be due at this time. If any fee is due it may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

By:


Neil P. Ferraro, Reg. No. 39,188
Amy Mendel, Reg. No. 55,452
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2206
Telephone: (617) 646-8000

Docket No.: T0450.70034US00
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Janine Michalski
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Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith is/are the following document(s):

- Request for Refund (37 C.F.R. §1.28(a))
- Statement of Small Entity
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

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Amy Mendel, Reg. No. 55,452
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